



# Rutland County Council

Catmose, Oakham, Rutland, LE15 6HP.  
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Ladies and Gentlemen,

A meeting of the **AUDIT AND RISK COMMITTEE** will be held in the Catmose on **Tuesday, 19th July, 2016** commencing at 7.00 pm when it is hoped you will be able to attend.

Yours faithfully

Helen Briggs  
**Chief Executive**

Recording of Council Meetings: Any member of the public may film, audio-record, take photographs and use social media to report the proceedings of any meeting that is open to the public. A protocol on this facility is available at [www.rutland.gov.uk/haveyoursay](http://www.rutland.gov.uk/haveyoursay)

## **A G E N D A**

### **APOLOGIES FOR ABSENCE**

#### **1) MINUTES**

To confirm the minutes of the Audit and Risk Committee held on 26 April 2016.

#### **2) DECLARATIONS OF INTEREST**

In accordance with the Regulations, Members are invited to declare any disclosable interests under the Code of Conduct and the nature of those interests in respect of items on this Agenda and/or indicate if Section 106 of the Local Government Finance Act 1992 applies to them.

#### **3) PETITIONS, DEPUTATIONS AND QUESTIONS**

To receive any petitions, deputations and questions received from Members of the Public in accordance with the provisions of Procedure Rule 217.

The total time allowed for this item shall be 30 minutes. Petitions, declarations and questions shall be dealt with in the order in which they are received. Questions may also be submitted at short notice by giving a written copy to the Committee Administrator 15 minutes before the start of the meeting.

The total time allowed for questions at short notice is 15 minutes out of the total time of 30 minutes. Any petitions, deputations and questions that have been submitted with prior formal notice will take precedence over questions submitted at short notice. Any questions that are not considered within the time limit shall receive a written response after the meeting and be the subject of a report to the next meeting.

**4) AUDIT COMMITTEE ANNUAL REPORT**

To receive Report No.149/2016 from the Chair.  
(Pages 5 - 16)

**5) ANNUAL GOVERNANCE STATEMENT**

To receive Report No. 113/2016 from the Director for Resources.  
(Pages 17 - 32)

**6) RISK MANAGEMENT UPDATE**

To receive Report No. 148 /2016 from the Director for Resources.  
(Pages 33 - 52)

**7) INTERNAL AUDIT UPDATE**

To receive Report No. 145/2016 from the Head of Internal Audit.  
(Pages 53 - 70)

**8) FUTURE OF INTERNAL AUDIT**

To receive Report No. 143/2016 from the Director for Resources.  
(Pages 71 - 76)

**9) REGULATION OF INVESTIGATORY POWERS ACT 2000 QUARTERLY UPDATE**

To receive a verbal update from the Director for Resources.

**10) EXTERNAL AUDIT UPDATE**

To receive Report No. 144/2016 from the Director for Resources.  
(Pages 77 - 92)

**11) ANY OTHER URGENT BUSINESS**

To receive items of urgent business which have previously been notified to the person presiding.

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**DISTRIBUTION**

**MEMBERS OF THE AUDIT AND RISK COMMITTEE:**

Mrs D MacDuff (Chairman)	
Mr J Lammie (Vice-Chair)	
Mr N Begy	Mr E Baines
Miss G Waller	Mr A Walters

**OTHER MEMBERS FOR INFORMATION**